## Scanner

Ronghui Gu Spring 2020

Columbia University

\* Course website: https://www.cs.columbia.edu/ rgu/courses/4115/spring2019

\*\* These slides are borrowed from Prof. Edwards.

## **The Big Picture**

## How do we describe/construct a program?

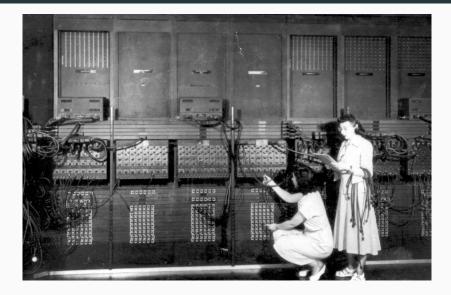
## Use continuously varying values?



## Very efficient, but has serious noise issues

Edison Model B Home Cylinder phonograph, 1906

## The ENIAC: Programming with Spaghetti



## Have one symbol per program?



## Works nicely when there are only a few things

Sholes and Glidden Typewriter, E. Remington and Sons, 1874

## Have one symbol per program?





## Not so good when there are many, many things

Nippon Typewriter SH-280, 2268 keys

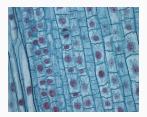
## Solution: Use a Discrete Combinatorial System

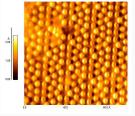
Use combinations of a small number of things to represent (exponentially) many different things.



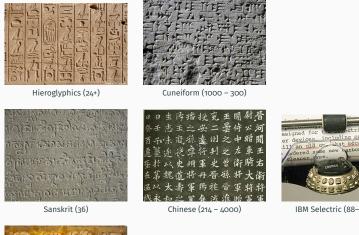








## **Every Human Writing System Does This**





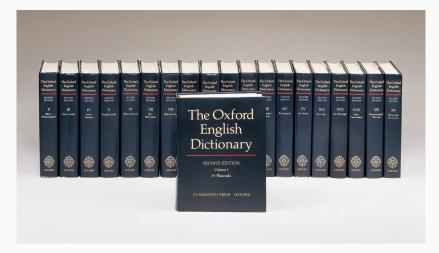


on that occasi arbon

IBM Selectric (88-96)

# How do we describe the combinations of a small number of things.

## Just List Them?



### Gets annoying for large numbers of combinations

#### -----

A A A A A Budget Moving	
A A A A A Canadian Mini-Warehouse	241-5468
	620.1577
Properties 5399 Egintonw	. 020-15//
1001 ArrowRd	. 742-0228
24 JeffersonAv	. 533-7572
4120 FinchE	298-3126
1001 ArrowRd. 24 JeffersonAv 4120 FinchE A A A A A Critter Control.	201-4711
A A A A A Critter Control	
100 Burncrest Unionville	410.8727
A A A A A Devco Glass	410.0271
A A A A A Drainworks Ltd	. 410-0371
A A A A A Drainworks Lto	
Toronto East. A A A A A Eevening Rendezvous	422-0501
A A A A A Eevening Rendezvous	. 929-6848
AAAAA Elf Mini Storage	
555 TretheweyDr	247-6294
A A A A A European AAAAA Expert Movers 16 WilbyCr	962-2033
AAAAA Export Movers 16 Wilhufr	242.7478
A A A A A Jewel Of The Orient	070.0075
A A A A A Limousine Connection	
A A A A A LIMOUSINE CONNECTION	
The	967-5466
A A A A A Mature Escorts	. 925-5433
A A A A A Move Master A A A A A Neal Professional Moving	. 588-4656
A A A A A Neal Professional Moving	
Systems 2480 LawrenceAvE A A A A A Prince Claude Moving	285,6325
A A A A A Brince Claude Moving	297.6701
AAAAA CIII Coolde morning	534 3500
AAAAA Silk Stockings. A A A A A Woodbine Moving&Storag	. 534-3509
A A A A A Woodbine Movingastorag	e Lta
65 Crockford	. 751-4900
A A A A A A Alert Glass&Mirror	. 638-1989
A A A A A A All Star Movers	
603 Evans	259-1578
A A A A A A A Armstrong Moving&	
Storage	233-2477
A A A A A A HSL Moving&Storage	
A A A A A A A HSL MOVING&Storage	253-7290
OUS EVERS	. 253-7290
A A A A A A Middup Moving&Storag	
60 EsnaParkDr	494-9451
A A A A A A-1 Moving&Storage	
637 Lansdowne	516-3536
A A A A A A Prestige Movers	
703 GladstoneAv	\$22.2622
AAAAAA South Western Ontario Wil	. 333-2033
Aboobox South Western Ontario Wil	. 690-4066
Kemovai	. 030-4000
AAAAAA Speedy Moving	
124 Crockford	. 285-6084
A-A-A-A-A Speedy Moving	
1540 VictoriaPark A A A A A A A A Across The World Cou	751.9532
A A A A A A A A Across The World Con	riar
425 AdelaideW	504 0000
A A A A A A A A Auto Glass	. 304-0006
855 Alness	. 663-8676
AAAAAAA California Dreams Escort	
Service	. 323-3899
AAAAAAA California Dreams Massag	
Sandca	323-3899
AAAAAAA National Auto Glass	
FCD Vision	503 3833
562 Kipling	503-3833
A A A A A A A Night&Day	. 929-9975
AAAAAAA Strip 'N Tell	. 964-7877
A A A A A A A A Night&Day AAAAAAA Strip 'N Tell A A A A A A A A Unforgettable Escorts	. 398-5337
A A A A A A A A A Automated Door	
Systems 22 Jutland	. 255-7127
AAAAAAAA California Beach Club Es	rort
Service	. 323-9822
Service	

A A A A A A A A A A A A A Class Above A A A A A A A A CBS Moving Limousine 173 DanforthAv . 465-5643 AAAAAAAAAAAAAA Cross Movers 130 Lansdowne . 533-7139 AAAAAAAAAAAAA Miss Service . 465-2767 Accompanying Injuries&Criminal Practice 1018 FinchW, 663-2211 A A A A A A A A A A Accident Accompanying Injuries&Criminal Practice 1018 FinchW, 663-2211 AAAAAAAAA China Blue Escort Service . 323-9522 A A A A A A A A A A A AAABCO Door Co 1860 BonhillRd Mississauga . 748-3667 A A A A A A A A A A A Action Law 5233 DundasStW. 253-0888 A A A A A A A A A A A Alert Auto Glass . 398-4585 599-3410 AAAAAAAAAA AMJ Campbell Van Lines Inc 1190 MeyersideDr., 213-5660 A A A A A A A A A A A A Auto Glass Hotline, 283-0042 A A A A A A A A A A A Collins&Greig Cartage Ltd 33 Coronet, 239-2991 A A A A A A A A A A A Competition Auto Glass 223-1292 A A A A A A A A A A A Competition Auto Glass 283-0042 A A A A A A A A A A A Competition Auto Glass, 410-7693 A A A A A A A A A A A International Escorts, 929-6848 A A A A A A A A A A A Jewel Dating&Escort Service , 461-0629 A A A A A A A A A A A Marketing Services, 413-0444 A A A A A A A A A A A Nothing But Class. 595-1884 A A A A A A A A A A A On The Wild Side Sensational Female Escort Service 255-1320 A A A A A A A A A A A The Good Life Clubs 21 McCaul, 979-1422 
 302 TheEastMail
 239-2783

 H Busy Call
 667-0470

 A A A A A A A A A A Affordable And Agressive Defence 4950 Yongv51, 221-7108
 A A A A A A A A A A A A Campbell Moving Systems . 265-4433 A A A A A A A A A A A A I Windshields To Go 159 Dyneyor . 787-8039 A A A A A A A A A A A A Sunset Escorts . 622-1177 A A A A A A A A A A A A Best Of The Best, 929-3039 A A A A A A A A A A A A A Bill&Son Towin 286 RoyalYork , 255-8518

A A A A A A A A A A A A A Payless Escorts, 485-5333 **AAAAAAAAAAAAAA** A A A A A A A 700 LawrenceAvW, 256-1600 AAAAAA, 699-6700 \*\*\*\*\*\*\*\*\*\*\*\*\* Mannie Zeller 255 DuncanMilRd, 441-9500 A A A A A A A A A A A A A A A A Cohen& Associates 1 StClairE \_ 323-0907 **AAAAAAAAAAAAAA** A A A A A A A A A A A A A Aabaco Transmissions 285 OldKingston . 287-0000 A A A A A A A A A A A A A Abba Movers&Storage 17 Canso, 242-6662 A A A A A A A A Abba Movers8 Storage, 366-0237 **AAAAAAAAAAAAAA** 14-A Hazelton . 964-0138 **AAAAAAAAAAAAAA** A A A A A A A A A A A A A Adrian The Mover 64 StClairW. 944-2018 A A A A A A Abba Auto Collision& Glass 777.9595 AAAAAAAAAAAAAAAA A A A A A A A A A A A A Armor Lock And Safe 6083 Yonge, 225-5589 AAAAAAAAAAAAAAAA A A A A A A A A A A Basement Systems Canada 38 Garnforth , 285-6002 \*\*\*\*\*\*\*\*\*\* AAAAAAAAAA 222-5867 A A A A A A A A Ad 3420 FinchE . 499-2144 AAAAAAAAAAAAAA A A A A A A A Law 305 Milner, 299-6688 

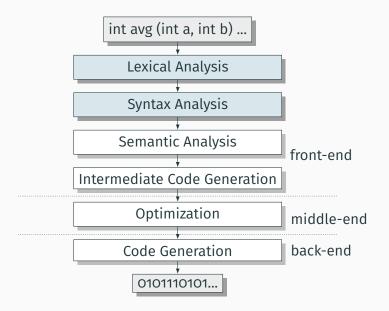
Action Law 5233 DundasStW, 253-0888 **AAAAAAAAAAAAAAAAAAAAAAA** Allan&Associates 401 Bay, 363-5431 AAAAAAAAAAAAAAAAAAAAA W Auto Glass 821 Kipling . 233-4773 Alarms 557 DixonRd. 247-0000 A A A A A A A A A A A A A A A B Towing 18 Canso . 245-7676

#### AAAAAAAAAAA 4

**AAAAAAAAAAAAAAA**AAAAA Towing 18 Canso . 245-7676 1232-B Woodbine . 423-0239 Robertson Moving&Storage 236 NorthQueen . 620-1212 Victoria . 967-7176 Rezz 652.5252 AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA Law, 784-2020 Accompanying Injuries&Criminal Practice 1000 FinchW, 663-2211 Claims 2 StClairW, 944-231 Ability 2 SheppardAvE . 224-0750 AAAAAAAAAAAAAAAAAAAAAAAAAAA Edge Door Systems \_ 222-8322 AAAAAAAAAAAAAAAAAAAAA Executive's Choice . 929-9390 \*\*\*\*\*\* Automatic Garage Doors 64 Clarkson , 785-7820 Ftohicoke ..... 252-5686 A A A A A A A A A A A A A A A A Cross Alarms 280 Consumers \_ 494-9777 A A A A A A A A A A A A A A A A Elegant Mature Escorts 923-3333 \*\*\*\*\*\* Professional Express System 425 AdelaideW 504-9111 AAAAAAAAAAAAAAAAAAA Escorts&You, 259-3940 A AAAA AAAA AAAA AAAA AAAA Anthony De Marco 1205 StClairW, 651-2295 A AAAA AAAA AAAA AAAA Domenic Tagliola 1205 StClairW, 651-2299 Available . 465-9191 Class Escort Service . 461-8110 Apple Auto Glass 1 800 506-5665 Cardinal Custom Building 2 BloorW . 966-4728 A A A A A A A A U Student Movers... 693-2403 A A A AAABCO Door Co 1860 BonhillRid Mississauga Toronto 748-3667 A A A A A A B S Movers 643 LansdowneAv, 588-1499 A AA AABBCCDEF Locksmith 80 StClairE . 922-2255 A A A A A B C Movers Inc 6 Columbus : 535-3413 A A A A A G B Best Movers ...... 503-9321 AAAAA M O I Moving Systems 955 Middlefield, 299-4239 A A A ABC Glass Supply 11 Concord. 531-1548 AAAABCO Door&Window Co 1860 BonhillRd Mississauga Toronto 748-3667

### Can be really redundant

## **Scanning and Parsing**



## **Lexical Analysis**

## Lexical Analysis (Scanning)

### Translate a stream of characters to a stream of tokens



foo
$$\_=\_a+\_bar(0,\_42,\_q);$$



Token	Lexemes	Pattern
EQUALS	=	an equals sign
PLUS	+	a plus sign
ID	a foo bar	letter followed by letters or digits
NUM	0 42	one or more digits

Goal: simplify the job of the parser and reject some wrong programs, e.g.,



is not a C program<sup>†</sup>

Scanners are usually much faster than parsers.

Discard as many irrelevant details as possible (e.g., whitespace, comments).

Parser does not care that the identifer is "supercalifragilisticexpialidocious."

Parser rules are only concerned with tokens.

<sup>†</sup> It is what you type when your head hits the keyboard

**Alphabet**: A finite set of symbols Examples: { 0, 1 }, { A, B, C, ..., Z }, ASCII, Unicode

**String**: A finite sequence of symbols from an alphabet Examples:  $\epsilon$  (the empty string), Ronghui,  $\alpha\beta\gamma$ 

## Language: A set of strings over an alphabet

Examples:  $\emptyset$  (the empty language), { 1, 11, 111, 1111 }, all English words, strings that start with a letter followed by any sequence of letters and digits

Let  $L = \{ \epsilon, wo \}, M = \{ man, men \}$ 

Concatenation: Strings from one followed by the other

 $LM = \{ \text{ man, men, woman, women } \}$ 

Union: All strings from each language

 $L \cup M = \{\epsilon, \text{ wo, man, men }\}$ 

Kleene Closure: Zero or more concatenations

 $M^* = \{\epsilon\} \cup M \cup MM \cup MMM \dots = \{\epsilon, \text{ man, men, manman, manmen, menman, menmen, manman, manman, manmen, manmen, manmen, manmen, manmen, \dots\}$ 

A standard way to express languages for tokens.

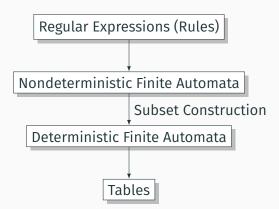
- 1.  $\epsilon$  is a regular expression that denotes  $\{\epsilon\}$
- 2. If  $a \in \Sigma$ , a is an RE that denotes  $\{a\}$
- 3. If r and s denote languages L(r) and L(s),

 $\Sigma = \{a, b\}$ 

Regexp.	Language
$a \mid b$	$\{a, b\}$
$(a \mid b)(a \mid b)$	$\{aa, ab, ba, bb\}$
$a^*$	$\{\epsilon, a, aa, aaa, aaaa, \ldots\}$
$(a \mid b)^*$	$\{\epsilon, a, b, aa, ab, ba, bb, aaa, aab, aba, abb, \ldots\}$
$a \mid a^*b$	$\{a, b, ab, aab, aaab, aaaab, \ldots\}$

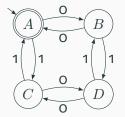
## ID: letter followed by letters or digits

Typical choice:  $\Sigma = \text{ASCII characters, i.e.,}$ { $\cup$ , !, ", #, \$, ..., 0, 1, ..., 9, ..., A, ..., Z, ..., ~} letters: A | B | ··· | Z | a | ··· | z digits: 0 | 1 | ··· | 9 identifier: letter ( letter | digit )\*



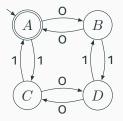
## Nondeterministic Finite Automata

"All strings containing an even number of O's and 1's"



1. Set of states S:CDВ 2. Set of input symbols  $\Sigma : \{0, 1\}$ 3. Transition function  $\sigma: S \times \Sigma_{\epsilon} \to 2^S$ state  $\epsilon = 0$ A  $\emptyset \{B\}$  $\{C\}$ B Ø  $\{A\}$  $\{D\}$ CØ  $\{D\}$  $\{A\}$ Ø D  $\{B\}$ 4. Start state  $s_0$ : 5. Set of accepting states F

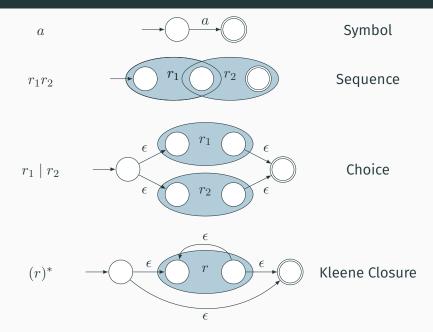
An NFA accepts an input string x iff there is a path from the start state to an accepting state that "spells out" x.



Show that the string "010010" is accepted.

$$(A) \xrightarrow{\mathbf{0}} (B) \xrightarrow{\mathbf{1}} (D) \xrightarrow{\mathbf{0}} (C) \xrightarrow{\mathbf{0}} (D) \xrightarrow{\mathbf{1}} (B) \xrightarrow{\mathbf{0}} (A)$$

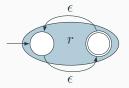
## Translating REs into NFAs (Thompson's algorithm)



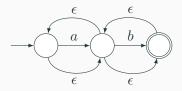
## Why So Many Extra States and Transitions?

Invariant: Single start state; single end state; at most two outgoing arcs from any state: helpful for simulation.

What if we used this simpler rule for Kleene Closure?

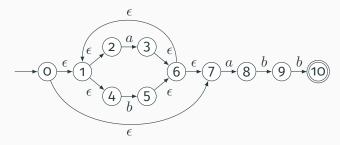


Now consider  $a^*b^*$  with this rule:



Is this right?

Example: Translate  $(a \mid b)^*abb$  into an NFA. Answer:



Show that the string "*aabb*" is accepted. Answer:

$$\longrightarrow 0 \xrightarrow{\epsilon} 1 \xrightarrow{\epsilon} 2 \xrightarrow{a} 3 \xrightarrow{\epsilon} 6 \xrightarrow{\epsilon} 7 \xrightarrow{a} 8 \xrightarrow{b} 9 \xrightarrow{b} 10$$

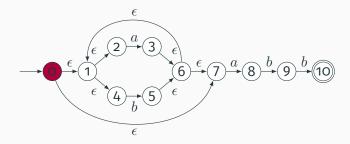
Problem: you must follow the "right" arcs to show that a string is accepted. How do you know which arc is right?

Solution: follow them all and sort it out later.

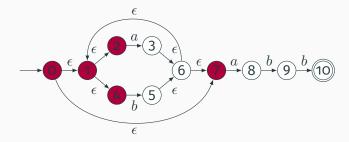
"Two-stack" NFA simulation algorithm:

- 1. Initial states: the  $\epsilon$ -closure of the start state
- 2. For each character  $c_{i}$ 
  - New states: follow all transitions labeled  $\boldsymbol{c}$
  - Form the  $\epsilon\text{-closure}$  of the current states
- 3. Accept if any final state is accepting

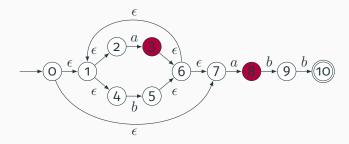
## Simulating an NFA: *·aabb*, Start



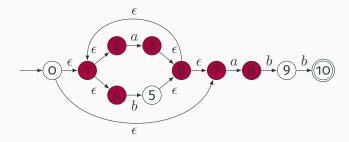
## Simulating an NFA: $\cdot aabb$ , $\epsilon$ -closure



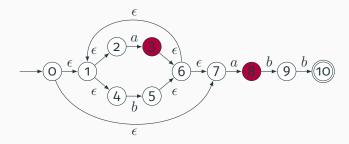
## Simulating an NFA: $a \cdot abb$



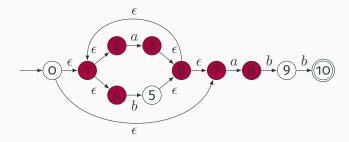
## Simulating an NFA: $a \cdot abb$ , $\epsilon$ -closure



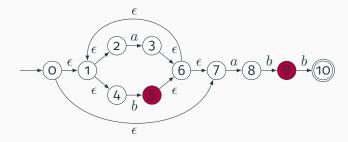
## Simulating an NFA: $aa \cdot bb$



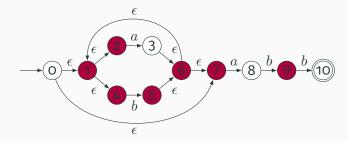
## Simulating an NFA: $aa \cdot bb$ , $\epsilon$ -closure



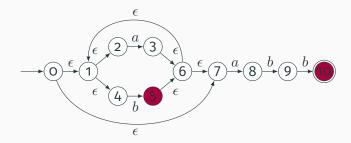
## Simulating an NFA: $aab \cdot b$



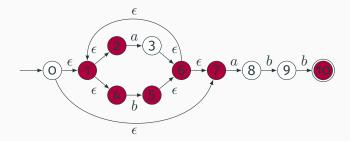
## Simulating an NFA: $aab \cdot b$ , $\epsilon$ -closure



## Simulating an NFA: aabb·



### Simulating an NFA: *aabb*, Done



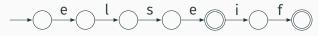
Restricted form of NFAs:

- No state has a transition on  $\epsilon$
- For each state *s* and symbol *a*, there is at most one edge labeled *a* leaving *s*.

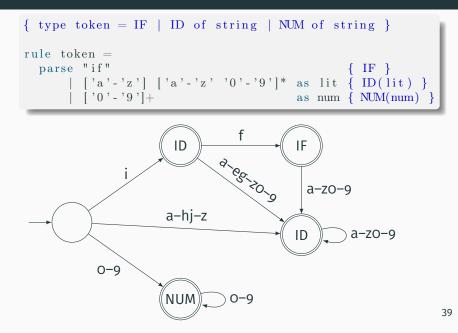
Differs subtly from the definition used in COMS W3261 (Sipser, *Introduction to the Theory of Computation*)

Very easy to check acceptance: simulate by maintaining current state. Accept if you end up on an accepting state. Reject if you end on a non-accepting state or if there is no transition from the current state for the next symbol.





## **Deterministic Finite Automata**

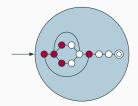


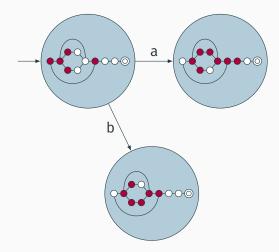
Subset construction algorithm

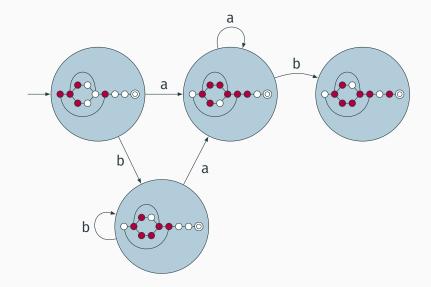
Simulate the NFA for all possible inputs and track the states that appear.

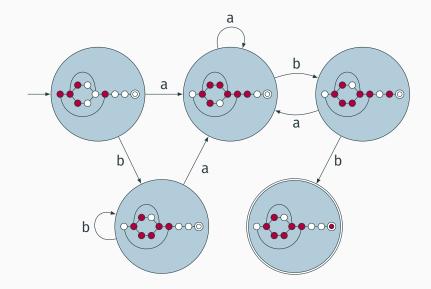
Each unique state during simulation becomes a state in the DFA.

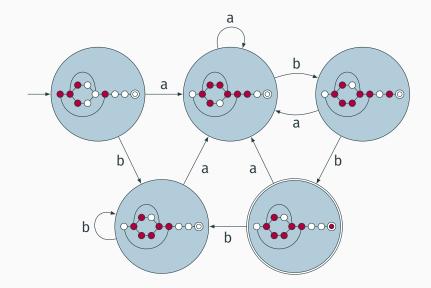
- 1. Create the start state of the DFA by taking the  $\varepsilon$ -closure of the start state of the NFA.
- 2. Perform the following for the new DFA state: For each possible input symbol:
  - Apply move to the newly-created state and the input symbol; this will return a set of states.
  - Apply the  $\varepsilon$ -closure to this set of states, possibly resulting in a new set. This set of NFA states will be a single state in the DFA.
- 3. Each time we generate a new DFA state, we must apply step 2 to it. The process is complete when applying step 2 does not yield any new states.
- 4. The finish states of the DFA are those which contain any of the finish states of the NFA.



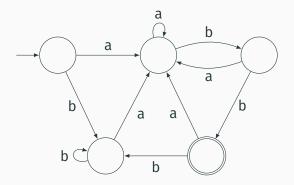






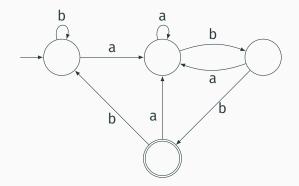


## **Result of subset construction for** $(a \mid b)^*abb$



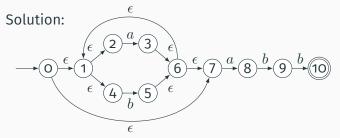
Is this minimal?

# Minimized result for $(a \mid b)^*abb$

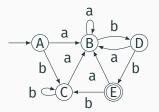


### Transition Table Used In the Dragon Book

Problem: Translate  $(a \mid b)^*abb$  into an NFA and perform subset construction to produce a DFA.



NFA State	<b>DFA State</b>	а	b
{0,1,2,4,7}	А	В	С
{1,2,3,4,6,7,8}	В	В	D
{1,2,4,5,6,7}	С	В	С
{1,2,4,5,6,7,9}	D	В	Е
{1,2,4,5,6,7,10}	E	В	С



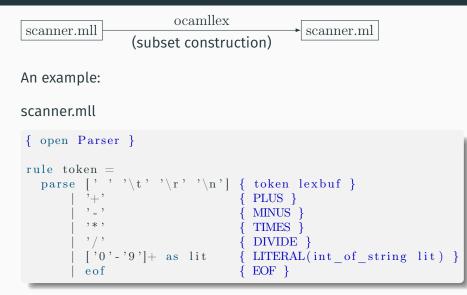
An DFA can be exponentially larger than the corresponding NFA.

n states versus  $2^n$ 

Tools often try to strike a balance between the two representations.

# Lexical Analysis with Ocamllex

## **Constructing Scanners with Ocamllex**



## **Ocamllex Specifications**

```
(* Header: verbatim OCaml code; mandatory *)
(* Definitions: optional *)
let ident = regexp
let ...
(* Rules: mandatory *)
rule entrypoint1 [arg1 ... argn] =
 parse pattern1 { action (* OCaml code *) }
        . . .
        patternn { action }
and entrypoint2 [arg1 ... argn]} =
 . . .
and ...
 (* Trailer: verbatim OCaml code; optional *)
```

# Patterns (In Order of Decreasing Precedence)

Pattern	Meaning
'c'	A single character
_	Any character (underline)
eof	The end-of-file
"foo"	A literal string
['1' '5' 'a'-'z']	"1," "5," or any lowercase letter
[^ '0'-'9']	Any character except a digit
( pattern )	Grouping
identifier	A pattern defined in the ${\rm let}$ section
pattern *	Zero or more patterns
pattern +	One or more patterns
pattern ?	Zero or one patterns
$pattern_1 pattern_2$	$pattern_1$ followed by $pattern_2$
$pattern_1 \mid pattern_2$	Either $pattern_1$ or $pattern_2$
pattern as id	Bind the matched pattern to variable <i>id</i>

### An Example

```
{ type token = PLUS | IF | ID of string | NUM of int }
let letter = ['a' - 'z' 'A' - 'Z']
let digit = ['0' - '9']
rule token =
parse [ ' ' '\n' '\t'] { token lexbuf } (* Ignore whitespace
    | '+' \{ PLUS \}
                                        (* A symbol *)
     | "if" { IF }
                                        (* A keyword *)
                                        (* Identifiers *)
     | letter (letter | digit | '')* as id { ID(id) }
                                        (* Numeric literals
      digit + as lit { NUM(int of string lit ) }
     | "/*" { comment lexbuf }
                               (* C-style comments
and comment =
 parse "*/" { token lexbuf } (* Return to normal scanning *
      { comment lexbuf } (* Ignore other characters *)
                                                         50
```

### **Nested Comments**

```
{ type token = PLUS | ID of string | NUM of int }
let letter = ['a' - 'z' 'A' - 'Z']
let digit = ['0' - '9']
rule token =
parse [' ' '\n' '\t'] { token lexbuf } (* Ignore whitespace
     | '+' \{ PLUS \}
                                        (* A symbol *)
     | letter (letter | digit | ' ')* as id { ID(id) }
      digit + as lit { NUM(int of string lit ) }
     | "/*" { comment 0 lexbuf } (* C-style comment
and comment level =
  parse "*/" { if level = 0 then token lexbuf
        else comments (level - 1) lexbuf }
       "/*" { comment (level + 1) lexbuf }
       { comment level lexbuf } (* Ignore other characte
```

Typical style arising from scanner/parser division

Program text is a series of tokens possibly separated by whitespace and comments, which are both ignored.

- keywords (if while)
- punctuation (, ( +)
- identifiers (foo bar)
- numbers (10 -3.14159e+32)
- strings ("A String")

# Java C C++ C# Algol Pascal Some deviate a little (e.g., C and C++ have a separate preprocessor)

But not all languages are free-format.

Python

### The Python scripting language groups with indentation

This is succinct, but can be error-prone.

How do you wrap a conditional around instructions?

- Does syntax matter? Yes and no
- More important is a language's *semantics*—its meaning.
- The syntax is aesthetic, but can be a religious issue.
- But aesthetics matter to people, and can be critical.
- Verbosity does matter: smaller is usually better.
- Too small can be problematic: APL is a succinct language with its own character set.
- There are no APL programs, only puzzles.

### Some syntax is error-prone. Classic FORTRAN example:

DO 5 I = 1,25 ! Loop header (for i = 1 to 25) DO 5 I = 1.25 ! Assignment to variable DO5I

### Trying too hard to reuse existing syntax in C++:

```
vector< vector <int>> foo;
vector<vector<int>> foo; // Syntax error
```

C distinguishes > and >> as different operators.

Bjarne Stroustrup tells me they have finally fixed this.