Final Exam Review Session

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Columbia University

* Course website: https://verigu.github.io/4115Spring2024/

Announcements

Exam Duration: 75 minutes via Zoom, cameras must be on.

Exam Type: Closed book, except for one double-sided sheet of notes prepared by the student.

Materials Needed: 10 white A4 papers for writing answers.

Submission Instructions:

- Write each problem's answer on a separate paper sheet.
- Take photographs of your answers.
- Submit a PDF file through the Gradescope platform immediately after the exam.
- Submission window: 15 minutes post-exam.

Final Report: May 9th, 11:59 pm via coursework Video Submission: 10 mins video

- May 13th, 11:59 pm via coursework
- May 9th, 11:59 pm for graduating students

Instructions:

https://verigu.github.io/4115Spring2024/assignments/project.html

The Big Picture

A programming language is a notation that a person and a computer can both understand.

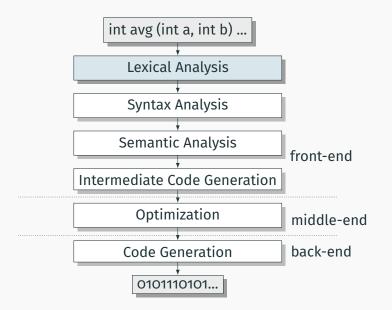
- It allows you to express what is the **task** to compute
- It allows a computer to **execute** the computation task

A programming language is a notation that a person and a computer can both understand.

- It allows you to express what is the **task** to compute
- It allows a computer to **execute** the computation task

A translator translates what you express to what a computer can execute.

Scanner



Lexical Analysis

Translate a stream of characters to a stream of tokens

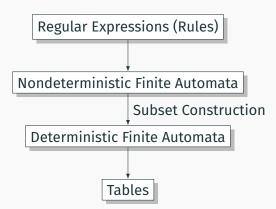


Token	Lexemes	Pattern
EQUALS	=	an equals sign
PLUS	+	a plus sign
ID	a foo bar	letter followed by letters or digits
NUM	0 42	one or more digits

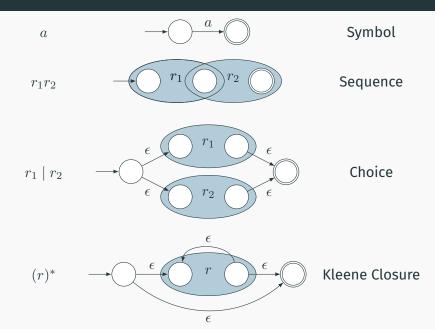
A standard way to express tokens.

- 1. ϵ is a regular expression that denotes $\{\epsilon\}$
- 2. If $a \in \Sigma$, a is an RE that denotes $\{a\}$
- 3. If r and s denote sets L(r) and L(s),

$(r) \mid (s)$	denotes	$L(r) \cup L(s)$
(r)(s)		$\{tu: t \in L(r), u \in L(s)\}$
$(r)^*$		$\cup_{i=0}^{\infty} L(r)^i$
	where	$L(r)^0 = \{\epsilon\}$
	and	$L(r)^i = L(r)L(r)^{i-1}$



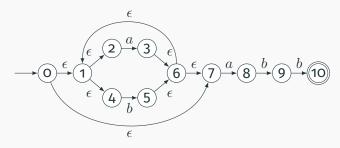
Translating REs into NFAs (Thompson's algorithm)



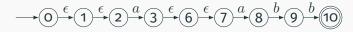
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Example: Translate $(a \mid b)^*abb$ into an NFA. Answer:

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Show that the string "*aabb*" is accepted. Answer:

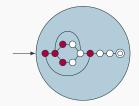


Subset construction algorithm

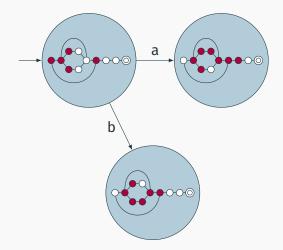
Simulate the NFA for all possible inputs and track the states that appear.

Each unique state during simulation becomes a state in the DFA.

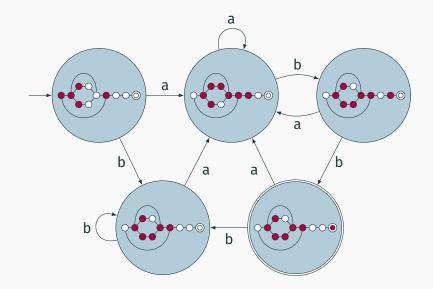
Subset construction for $(a \mid b)^*abb$



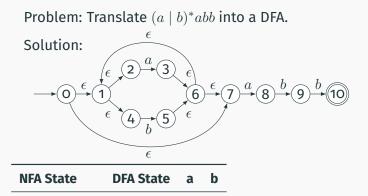
Subset construction for $(a \mid b)^*abb$



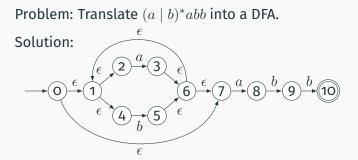
Subset construction for $(a \mid b)^*abb$



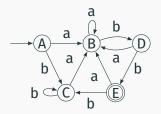
Transition Table Used In the Dragon Book



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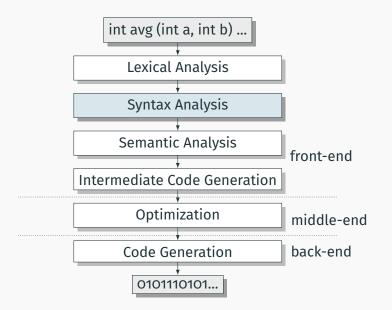


NFA State	DFA State	а	b
{0,1,2,4,7}	А	В	С
{1,2,3,4,6,7,8}	В	В	D
{1,2,4,5,6,7}	С	В	С
{1,2,4,5,6,7,9}	D	В	Е
{1,2,4,5,6,7,10}	E	В	С



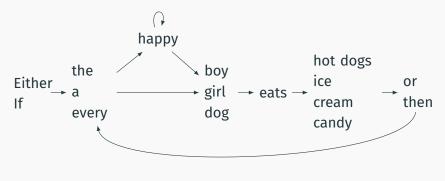
Syntax Analysis

Parser



If the boy eats hot dogs, then the girl eats ice cream. Either the boy eats candy, or every dog eats candy. If the boy eats hot dogs, then the girl eats ice cream.

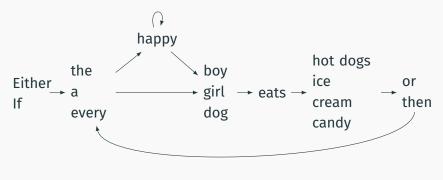
Either the boy eats candy, or every dog eats candy.



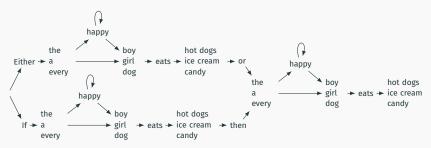
Does this work?

If the boy eats hot dogs, then the girl eats ice cream.

Either the boy eats candy, or every dog eats candy.



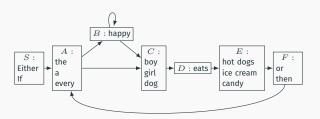
Does this work? Want to remember the state? Want to "remember" whether it is an "either-or" or "if-then" sentence. Only solution: duplicate states.



Automata in the form of Production Rules

Problem: automata do not remember where they've been

 $S \to \text{Either } A$ $S \to \text{If } A$ $A \to \text{the } B$ $A \to \text{the } C$ $A \to a B$ $A \to a C$ $A \to \text{every } B$ $A \to \text{every } C$ $B \to \text{happy } B$ $B \to \text{happy } C$ $C \to \text{boy } D$ $C \to \operatorname{girl} D$ $C \to \log D$ $D \rightarrow \text{eats } E$ $E \to hot dogs F$ $E \rightarrow ice cream F$ $E \to \operatorname{candy} F$



Context-Free Grammars have the ability to "call subroutines:"

```
S \rightarrow Either P, or P. Exactly two Ps
S \to \text{If } P, then P.
P \rightarrow A H N eats O One each of A, H, N, and O
A \rightarrow \text{the}
A \rightarrow a
A \rightarrow \text{every}
H \to happy H
                             H is "happy" zero or more times
H \to \epsilon
N \to \text{bov}
N \to \text{girl}
N \to \log
O \rightarrow hot dogs
O \rightarrow ice cream
0 \rightarrow candy
```

n o's followed by n 1's, e.g., 000111, 01

n o's followed by n 1's, e.g., 000111, 01

$$\begin{split} S &\to 0 \ S \ 1. \\ S &\to \epsilon. \end{split}$$

Constructing Grammars and Ocamlyacc

Objective: build an abstract syntax tree (AST) for the token sequence from the scanner.

$$2*3+4 \qquad \Rightarrow \qquad \begin{array}{c} + \\ / \\ \times \\ 4 \\ / \\ 2 \\ 3 \end{array}$$

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Goal: verify the syntax of the program, discard irrelevant information, and "understand" the structure of the program.

Parentheses and most other forms of punctuation removed.

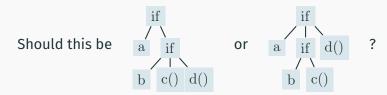
Who owns the else?

```
if (a) if (b) c(); else d();
```

stmt : IF expr THEN stmt | IF expr THEN stmt ELSE stmt

Problem comes after matching the first statement. Question is whether an "else" should be part of the current statement or a surrounding one since the second line tells us "stmt ELSE" is possible.

The Dangling Else Problem



Grammars are usually ambiguous; manuals give disambiguating rules such as C's:

As usual the "else" is resolved by connecting an else with the last encountered elseless if.

stmt : 0	dstmt cstmt
	IF expr THEN stmt IF expr THEN cstmt ELSE dstmt
	IF expr THEN cstmt ELSE cstmt other statements

stmt : dstmt | cstmt dstmt : IF expr THEN stmt | IF expr THEN cstmt ELSE dstmt cstmt : IF expr THEN cstmt ELSE cstmt | other statements...

if (a) if (b) c(); else d();

stmt : dstmt | cstmt dstmt : IF expr THEN stmt | IF expr THEN cstmt ELSE dstmt cstmt : IF expr THEN cstmt ELSE cstmt | other statements...

 $\frac{\text{if (b) c(); else d();}}{\text{cstmt?}}$

Ambiguous Arithmetic

Ambiguity can be a problem in expressions. Consider parsing

3 - 4 * 2 + 5

with the grammar

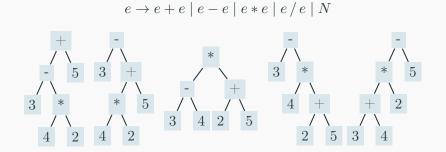
$$e \rightarrow e + e \mid e - e \mid e * e \mid e / e \mid N$$

Ambiguous Arithmetic

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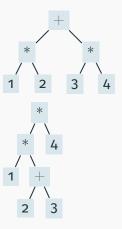
Usually resolve ambiguity in arithmetic expressions

Defines how sticky an operator is.

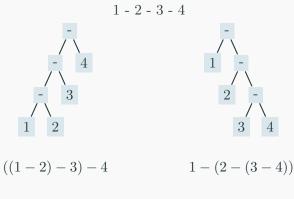
1 * 2 + 3 * 4

* at higher precedence than +: (1 * 2) + (3 * 4)

+ at higher precedence than *: 1 * (2 + 3) * 4



Whether to evaluate left-to-right or right-to-left Most operators are left-associative

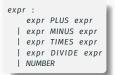


left associative

right associative

Fixing Ambiguous Grammars

A grammar specification:



Ambiguous: no precedence or associativity.

Ocamlyacc's complaint: "16 shift/reduce conflicts."

1 * 2 + 3?

expr TIMES expr PLUS shift?

expr TIMES expr PLUS reduce?

Split into multiple rules, one per level

expr	: expr PLUS expr
	expr MINUS expr
	term
term	: term TIMES term
	term DIVIDE term
	atom
atom	: NUMBER

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Still ambiguous: associativity not defined

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Make one side the next level of precedence

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term	: term TIMES atom
	term DIVIDE atom
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atom	: NUMBER

This is left-associative.

No shift/reduce conflicts.

Make one side the next level of precedence

expr	: expr PLUS term
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1 * 2 * 3?

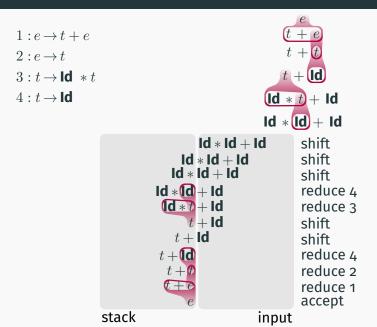
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Parsing Algorithms

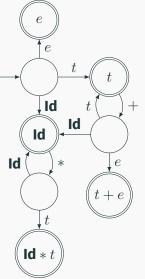
Shift/Reduce Parsing Using an Oracle



The Handle-Identifying Automaton

Magical result, due to Knuth: An automaton suffices to locate a handle in a right-sentential form.

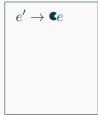
 $\mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} * \underline{t} \cdots$ $\mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} \cdots$ $t + t + \cdots + \underline{t} + \underline{e}$ $t + t + \cdots + t + \mathbf{\underline{Id}}$ $t + t + \cdots + t + \mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} * \underline{t}$ $t + t + \cdots + \underline{t}$ \mathbf{e}



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Building the Initial State of the LR(0) Automaton

$$\begin{split} 1: e &\rightarrow t + e \\ 2: e &\rightarrow t \\ 3: t &\rightarrow \mathrm{Id} \ * t \\ 4: t &\rightarrow \mathrm{Id} \end{split}$$



Key idea: automata identify viable prefixes of right sentential forms. Each state is an equivalence class of possible places in productions. At the beginning, any viable prefix must be at the beginning of a string expanded from e. We write this condition " $e' \rightarrow \mathbf{C}e$ "

Building the Initial State of the LR(0) Automaton

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$$e' \to \mathbf{C}e$$

$$e \to \mathbf{C}t + e$$

$$e \to \mathbf{C}t$$

Key idea: automata identify viable prefixes of right sentential forms. Each state is an equivalence class of possible places in productions. At the beginning, any viable prefix must be at the beginning of a string expanded from e. We write this condition " $e' \rightarrow \mathbf{C}e$ " There are two choices for what an e may expand to: t + e and t. So when $e' \rightarrow \mathbf{C}e$, $e \rightarrow \mathbf{C}t + e$ and $e \rightarrow \mathbf{C}t$ are also true, i.e., it must start with a string expanded from t.

Building the Initial State of the LR(0) Automaton

 $1: e \rightarrow t + e$ $2: e \rightarrow t$ $3: t \rightarrow \mathbf{Id} * t$ $4: t \rightarrow \mathbf{Id}$

$$e' \rightarrow \mathbf{C}e$$

$$e \rightarrow \mathbf{C}t + e$$

$$e \rightarrow \mathbf{C}t$$

$$t \rightarrow \mathbf{C}\mathbf{I}\mathbf{d} * t$$

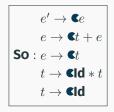
$$t \rightarrow \mathbf{C}\mathbf{I}\mathbf{d}$$

Key idea: automata identify viable prefixes of right sentential forms. Each state is an equivalence class of possible places in productions. At the beginning, any viable prefix must be at the beginning of a string expanded from e. We write this condition " $e' \rightarrow \mathbf{C}e'$ "

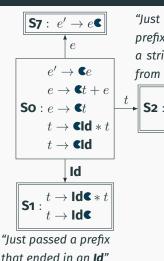
There are two choices for what an e may expand to: t + e and t. So when $e' \rightarrow \mathbf{C}e$, $e \rightarrow \mathbf{C}t + e$ and $e \rightarrow \mathbf{C}t$ are also true, i.e., it must start with a string expanded from t.

Also, t must be $\mathbf{Id} * t$ or \mathbf{Id} , so $t \to \mathbf{CId} * t$ and $t \to \mathbf{CId}$.

This is a *closure*, like ϵ -closure in subset construction.



The first state suggests a viable prefix can start as any string derived from *e*, any string derived from *t*, or **Id**.

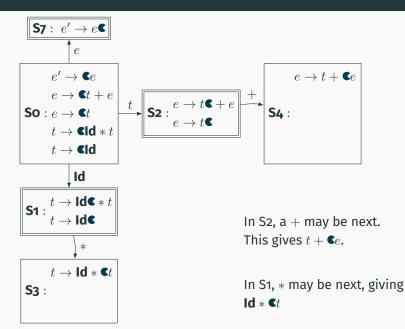


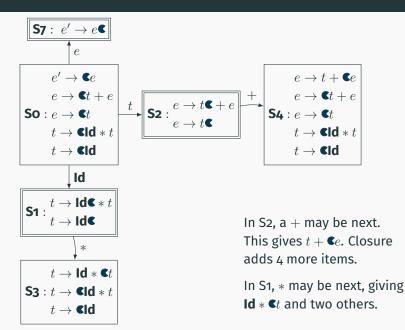
"Just passed a prefix ending in a string derived from t" $e \rightarrow t \mathbf{C} + e$

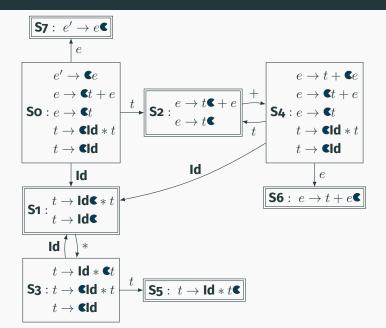
 $e \rightarrow t$

The first state suggests a viable prefix can start as any string derived from e, any string derived from t, or **Id**. The items for these

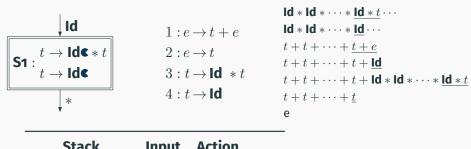
three states come from advancing the C across each thing, then performing the closure operation (vacuous here).





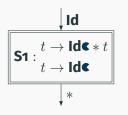


What to do in each state?



_	Juck	mput	Action	
	Id * Id * · · · * Id	* • • •	Shift	

What to do in each state?



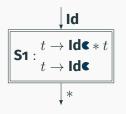
$$\begin{aligned} 1: e \to t + e \\ 2: e \to t \\ 3: t \to \mathbf{Id} * t \end{aligned}$$

 $4:t \,{\rightarrow}\, \mathrm{Id}$

$$\mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} * \underline{t} \cdots$$
$$\mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} \cdots$$
$$t + t + \cdots + \underline{t + e}$$
$$t + t + \cdots + t + \mathbf{\underline{Id}}$$
$$t + t + \cdots + t + \mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} * \underline{t}$$
$$t + t + \cdots + \underline{t}$$
$$\mathbf{e}$$

Stack	Input	Action
Id * Id * · · · * Id	*…	Shift
$Id \ast Id \ast \cdots \ast Id$	$+\cdots$	Reduce 4
ld * ld * · · · * ld		Reduce 4

What to do in each state?



$$\begin{array}{l} 1:e \rightarrow t+e\\ 2:e \rightarrow t\\ 3:t \rightarrow \mathbf{Id} \ *t \end{array}$$

 $4: t \rightarrow \mathbf{Id}$

$$\mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} * \underline{t} \cdots$$
$$\mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} \cdots$$
$$t + t + \cdots + \underline{t + e}$$
$$t + t + \cdots + t + \mathbf{\underline{Id}}$$
$$t + t + \cdots + t + \mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{\underline{Id}} * \underline{t}$$
$$t + t + \cdots + \underline{t}$$
$$\mathbf{e}$$

Stack	Input	Action
Id * Id * ··· * Id	*…	Shift
$\mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{Id}$	$+\cdots$	Reduce 4
$\mathbf{Id} * \mathbf{Id} * \cdots * \mathbf{Id}$		Reduce 4
$\mathbf{Id}\ast\mathbf{Id}\ast\cdots\ast\mathbf{Id}$	$\text{Id}\cdots$	Syntax Error

The FIRST function

If you can derive a string that starts with terminal t from a sequence of terminals and nonterminals α , then $t \in \text{FIRST}(\alpha)$.

- 1. If X is a terminal, $FIRST(X) = \{X\}$.
- 2. If $X \to \epsilon$, then add ϵ to $\operatorname{FIRST}(X)$.
- 3. If $X \to Y_1 \cdots Y_k$ and $\epsilon \in \text{FIRST}(Y_1)$, $\epsilon \in \text{FIRST}(Y_2)$, ..., and
 - $\epsilon \in \text{FIRST}(Y_{i-1}) \text{ for } i = 1, \dots, k \text{ for some } k$, add $\text{FIRST}(Y_i) - \{\epsilon\} \text{ to } \text{FIRST}(X)$

X starts with anything that appears after skipping empty strings. Usually just ${\rm FIRST}(Y_1) \subset {\rm FIRST}(X)$

4. If $X \to Y_1 \cdots Y_K$ and $\epsilon \in \text{FIRST}(Y_1)$, $\epsilon \in \text{FIRST}(Y_2)$, ..., and $\epsilon \in \text{FIRST}(Y_k)$, add ϵ to FIRST(X)

If all of X can be empty, X can be empty

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 - $\epsilon \in \text{FIRST}(Y_{i-1}) \text{ for } i = 1, \dots, k \text{ for some } k$, add $\text{FIRST}(Y_i) - \{\epsilon\} \text{ to } \text{FIRST}(X)$

X starts with anything that appears after skipping empty strings. Usually just ${\rm FIRST}(Y_1) \subset {\rm FIRST}(X)$

4. If $X \to Y_1 \cdots Y_K$ and $\epsilon \in \text{FIRST}(Y_1)$, $\epsilon \in \text{FIRST}(Y_2)$, ..., and $\epsilon \in \text{FIRST}(Y_k)$, add ϵ to FIRST(X)

If all of X can be empty, X can be empty

$1: e \rightarrow t + e$	$FIRST(Id) = \{Id\}$
$2:e \mathop{\rightarrow} t$	$\ensuremath{FIRST}(t) = \{ \ensuremath{Id} \} \ensuremath{because}\ t \to \ensuremath{Id} \ * t \ensuremath{and}\ t \to \ensuremath{Id}$
$3: t \rightarrow \mathbf{Id} * t$	${\sf FIRST}(e) = \{{\sf Id}\} \ {\sf because} \ e o t + e$, $e o t$, and
$4:t \to \mathbf{Id}$	$FIRST(t) = \{Id\}.$

If t is a terminal, A is a nonterminal, and $\cdots At \cdots$ can be derived, then $t \in FOLLOW(A)$.

- Add \$ ("end-of-input") to FOLLOW(S) (start symbol). End-of-input comes after the start symbol
- 2. For each prod. $\rightarrow \cdots A\alpha$, add FIRST $(\alpha) \{\epsilon\}$ to FOLLOW(A). A is followed by the first thing after it
- 3. For each prod. $A \to \cdots B$ or $A \to \cdots B\alpha$ where $\epsilon \in \text{FIRST}(\alpha)$, then add everything in FOLLOW(A) to FOLLOW(B).

If ${\cal B}$ appears at the end of a production, it can be followed by whatever follows that production

 $\begin{array}{ll} 1:e \rightarrow t+e & \mbox{FOLLOW}(e) = \{\$\} \\ 2:e \rightarrow t & \mbox{FOLLOW}(t) = \{ \ \ \} \\ 3:t \rightarrow \mbox{Id} \ \ast t & \mbox{Id} \\ 4:t \rightarrow \mbox{Id} & \mbox{Id} \\ \mbox{FIRST}(t) = \{\mbox{Id}\} \\ \mbox{FIRST}(e) = \{\mbox{Id}\} \end{array}$

If t is a terminal, A is a nonterminal, and $\cdots At \cdots$ can be derived, then $t \in FOLLOW(A)$.

- Add \$ ("end-of-input") to FOLLOW(S) (start symbol). End-of-input comes after the start symbol
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If ${\cal B}$ appears at the end of a production, it can be followed by whatever follows that production

 $1: e \rightarrow t + e$ $2: e \rightarrow t$ $3: t \rightarrow Id * t$ $4: t \rightarrow Id$ FIRST $(t) = \{Id\}$ FIRST $(e) = \{Id\}$

$$\begin{aligned} & \texttt{FOLLOW}(e) = \{\$\} \\ & \texttt{FOLLOW}(t) = \{+ \} \end{aligned}$$

2. Because $e \rightarrow \underline{t} + e$ and $FIRST(+) = \{+\}$

If t is a terminal, A is a nonterminal, and $\cdots At \cdots$ can be derived, then $t \in FOLLOW(A)$.

- Add \$ ("end-of-input") to FOLLOW(S) (start symbol). End-of-input comes after the start symbol
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If ${\cal B}$ appears at the end of a production, it can be followed by whatever follows that production

If t is a terminal, A is a nonterminal, and $\cdots At \cdots$ can be derived, then $t \in FOLLOW(A)$.

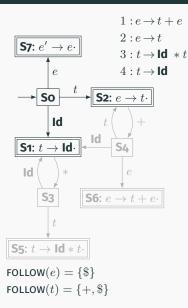
- Add \$ ("end-of-input") to FOLLOW(S) (start symbol). End-of-input comes after the start symbol
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- 3. For each prod. $A \to \cdots B$ or $A \to \cdots B\alpha$ where $\epsilon \in \text{FIRST}(\alpha)$, then add everything in FOLLOW(A) to FOLLOW(B).

If ${\cal B}$ appears at the end of a production, it can be followed by whatever follows that production

$$\begin{split} 1: e &\rightarrow t + e \\ 2: e &\rightarrow t \\ 3: t &\rightarrow \mathbf{Id} * t \\ 4: t &\rightarrow \mathbf{Id} \\ \mathsf{FIRST}(t) &= \{\mathbf{Id}\} \\ \mathsf{FIRST}(e) &= \{\mathbf{Id}\} \end{split}$$

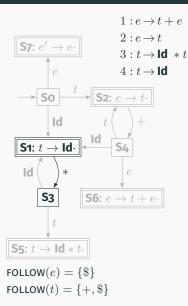
Follow(
$$e$$
) = {\$}
Follow(t) = { + , \$}

Fixed-point reached: applying any rule does not change any set



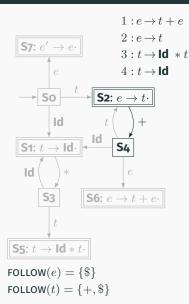
State	State		Action			Goto	
	Id	+	*	\$	e	t	
0	S1				7	2	

From So, shift an **Id** and go to S1; or cross a t and go to S2; or cross an e and go to S7. 50

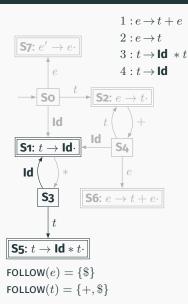


State	State Action			Go	oto	
	Id	+	*	\$	e	t
0	S1				7	2
1		r4	S3	r4		

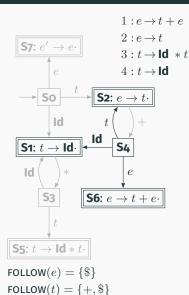
From S1, shift a * and go to S3; or, if the next input \in FOLLOW(t), reduce by rule 4.



State		Action					
	Id	+	*	\$	e	t	
0	S1				7	2	
1		r4	s 3	r4			
2		s4		r2			
From S2	. shif	t a +	and	go to) S4	: or.	
if the ne				-		, - ,	
reduce l	oy ru	le 2.			, -		

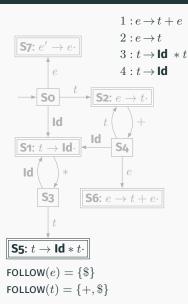


State		Act	Go	oto		
	Id	+	*	\$	e	t
0	S1				7	2
1		r4	s 3	r4		
2		S4		r2		
3	S1					5
From S3	, shif	t an	Id an	d go	to S	51;
or cross	a t a	and g	o to s	S5.		



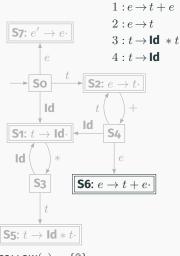
State		Action				oto
	Id	+	*	\$	e	t
0	S1				7	2
1		r4	s3	r4		
2		S4		r2		
3	S1					5
4	S1				6	2

From S4, shift an **Id** and go to S1; or cross an e or a t.



State		Action			Go	oto
	Id	+	*	\$	e	t
0	S1				7	2
1		r4	s3	r4		
2		S4		r2		
3	S1					5
4	S1				6	2
5		r3		r3		

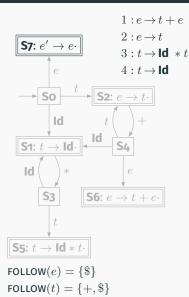
From S5, reduce using rule 3 if the next symbol \in FOLLOW(t).



FOLLOW $(e) = \{\$\}$ FOLLOW $(t) = \{+, \$\}$

State		Action				
	Id	+	*	\$	e	t
0	S1				7	2
1		r4	s3	r4		
2		S4		r2		
3	S1					5
4	S1				6	2
5		r3		r3		
6				r1		

From S6, reduce using rule 1 if the next symbol \in FOLLOW(e).



State		Action				oto
	Id	+	*	\$	e	t
0	S1				7	2
1		r4	s 3	r4		
2		S4		r2		
3	S1					5
4	S1				6	2
5		r3		r3		
6				r1		
7				\checkmark		

If, in S7, we just crossed an *e*, accept if we are at the end of the input.

Shift/Reduce Parsing with an SLR Table

 $1: e \rightarrow t + e$ $2: e \rightarrow t$ $3: t \rightarrow Id * t$ $4: t \rightarrow Id$

State		Action				
	Id	+	*	\$	e	t
0	S1				7	2
1		r4	S3	r4		
2		S4		r2		
3	S1					5
4	S1				6	2
5		r3		r3		
6				r1		
7				\checkmark		

Stack	Input	Action		
0	Id $*$ Id $+$ Id	Shift, goto 1		

Look at the state on top of the stack and the next input token.

Find the action (shift, reduce, or error) in the table.

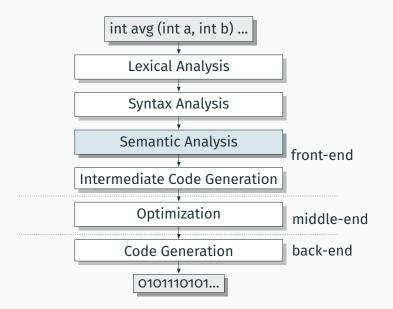
In this case, shift the token onto the stack and mark it with state 1.

Shift/Reduce Parsing with an SLR Table

4							Stack	Input	Action
$\begin{array}{c} 1:e \rightarrow \\ 2:e \rightarrow \end{array}$		e					0	Id*Id+Id\$	Shift, goto 1
$3:t \rightarrow$	_	∗ t					0 Id	* Id $+$ Id $$$	Shift, goto 3
$4:t \rightarrow$	ld						0 1 3	$\mathbf{Id} + \mathbf{Id}\$$	Shift, goto 1
State		Act	ion		Go	oto	0 1 3 1	$+ \operatorname{Id} \$$	Reduce 4
	Id	+	*	\$	e	t	$\begin{array}{c} \mathbf{Id} & * & t \\ 0 & 1 & 3 & 5 \end{array}$	$+ \operatorname{Id} \$$	Reduce 3
0	S1				7	2	o ^t ₂	$+ \operatorname{Id} \$$	Shift, goto 4
1 2		r4 S4	S3	r4 r2			$ 0 \begin{array}{c} t \\ 2 \end{array} + \begin{array}{c} + \\ 4 \end{array} $	ld \$	Shift, goto 1
3	S1					5		\$	Reduce 4
4 5	S1	r3		r3	6	2	$0 \begin{array}{c} t \\ 2 \\ 4 \\ 2 \end{array} + \begin{array}{c} t \\ 2 \end{array}$	\$	Reduce 2
6		Ū		r1			$0 \frac{t}{2} + \frac{e}{4} \frac{6}{6}$	\$	Reduce 1 ₅₁
7				\checkmark			- 0 ^e ₇	¢	Accent

Semantic Analysis

Semantic Analysis



Lexical analysis: Each token is valid?

for #a1123/* invalid tokens */for break/* valid Java tokens */

Syntactic analysis: Tokens appear in the correct order?

for break /* invalid syntax */
return 3 + "f"; /* valid Java syntax */

Semantic analysis: Names used correctly? Types consistent?

return 3 + "f"; /* invalid */ return 3 + 13; /* valid in Java */

What's Wrong With This?

a + f(b, c)Scope questions: Is a defined? Is f defined? Are b and c defined? Type questions: Is f a function of two arguments? Can you add whatever a is to whatever f returns? **Does** f accept whatever b and c are?

Scope - What names are visible?

Scope: where/when a name is bound to an object

Useful for modularity: want to keep most things hidden

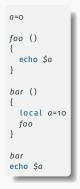
Scoping Policy	Visible Names Depend On
Static	Textual structure of program Names resolved by compile-time symbol tables Faster, more common, harder to break programs
Dynamic	Run-time behavior of program Names resolved by run-time symbol tables, e.g., walk the stack looking for names Slower, more dynamic

Static vs. Dynamic Scope

С

int a = 0; int foo() { return a; } int bar() { int a = 10; return foo(); } OCaml

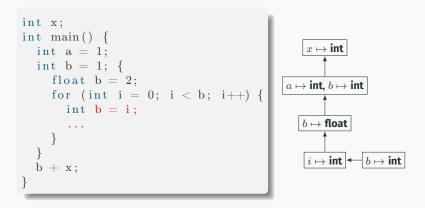
let a = 0 in let foo x = a in let bar = let a = 10 in foo 0 Bash



Symbol Tables by Example: C-style

Implementing C-style scope (during walk over AST):

- Reach a declaration: Add entry to current table
- Enter a "block": New symbol table; point to previous
- Reach an identifier: lookup in chain of tables



Types - What operations are allowed?



A restriction on the possible interpretations of a segment of memory or other program construct.

Two uses:



Safety: avoids data being treated as something it isn't

Optimization: eliminates certain runtime decisions

Type Systems

- A language's type system specifies which operations are valid for which types.
- The goal of type checking is to ensure that operations are used with the correct types.
- Three kinds of languages:
 - Statically typed: All or almost all checking of types is done as part of compilation (C, Java)
 - Dynamically typed: Almost all checking of types is done as part of program execution (Python)
 - Untyped: No type checking (machine code)

Strongly-typed: the type of a value does not change in unexpected ways.

Is C strongly-typed?

float g; union { float f; int i } u; u.i = 3; g = u.f + 3.14159; /* u.f is meaningless */

Is Java strongly-typed? What about Python? Put more information in the rules!

A type environment gives types for free variables .

 $\overline{\mathcal{E}} \vdash \mathsf{NUMBER} : \mathbf{int}$

$$\frac{\mathcal{E}(x) = \mathbf{T}}{\mathcal{E} \vdash x : \mathbf{T}}$$

 $\frac{\mathcal{E} \vdash \mathsf{expr}_1: \ \textbf{int} \qquad \mathcal{E} \vdash \mathsf{expr}_2: \ \textbf{int}}{\mathcal{E} \vdash \mathsf{expr}_1 + \mathsf{expr}_2: \ \textbf{int}}$

How To Check Symbols

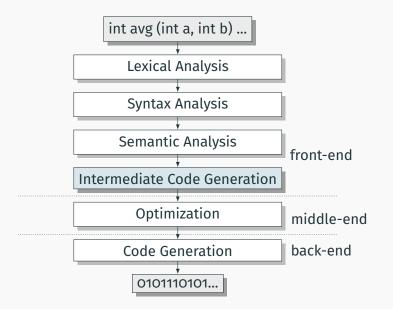
check: environment \rightarrow node \rightarrow typedNode



The environment provides a "symbol table" that holds information about each in-scope symbol.

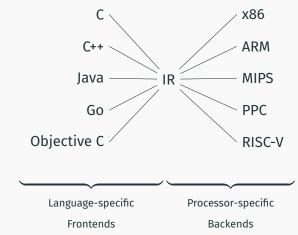
IR Generation

Intermediate Code Generation



Suppose we wish to build compilers for n source languages and m target machines.

Case 2: IR present. Need just n front-ends and m back ends.



Most register-based IRs use three-address code: Arithmetic instructions have (up to) three operands: two sources and one destination.

SSA Form: each variable in an IR is assigned exactly once

C code:	Three-Address:	SSA:
<pre>int gcd(int a, int b) { while (a != b) if (a < b) b -= a; else a -= b; return a; }</pre>	WHILE: t = sne a, b bz DONE, t t = slt a, b bz ELSE, t b = sub b, a jmp LOOP ELSE: a = sub a, b LOOP: jmp WHILE DONE: ret a	bz DONE, t1 t2 = slt a1, b1 bz ELSE, t2 b1 = sub b1, a1 jmp LOOP

What is an "Address" in Three-Address Code?

- Name: (from the source program) e.g., x, y, z
- Constant: (with explicit primitive type) e.g., 1, 2, 'a'
- Compiler-generated temporary: ("register") e.g., t1, t2, t3

Instructions of Three-Address Code

- x = op y, z: where op is a binary operation
- x = op y: where op is a unary operation
- x = y: copy operation
- jmp L: unconditional jump to label L
- bz L, x: jump to L if x is zero
- bnz L, x: jump to L if x is not zero
- param x, call L, y, return z: function calls

Goal: take statements (AST) and produce a sequence of TAC.

Example: a := b + c * d; TAC: t1 = mul c, d t2 = add b, t1 a = t1

Translate expressions and statements

Algorithm: Syntax-Directed Translation (SDT)

For each expression E, we'll synthesize two attributes:

- E.addr: the name of the variable (often a temporary variable)
- E.code: the IR instructions generated from E

SDT: each semantic rule corresponds to actions computing two attributes with the following auxiliary functions:

- Call NewTemp to create a new temporary variable
- Call Gen: to print a new three-address instruction Gen(t, "=", op, x, ",", y) ⇒ "t = op x, y"

```
CFG rule: E_0 \rightarrow id
Actions:
E_0.addr := id
E_0.code := "" empty string
We do not consider scopes here.
```

```
Example: E_0 = ID("a")

E_0.addr := "a"

E_0.code := "" empty string
```

```
CFG rule: E_0 \rightarrow E_1 + E_2
Actions:
     E_0.addr := NewTemp()
     E_0.code :=E_1.code || E_2.code ||
           Gen(E_0.addr, "=", "add", E_1.addr, ",", E_2.addr)
Example: a + b
     E_0 = PLUS(E_1, E_2) E_1 = ID("a") E_2 = ID("b")
     E_1.addr := "a" \quad E_1.code := ""
     E<sub>2</sub>.addr := "b" E<sub>2</sub>.code := ""
     E<sub>0</sub>.addr := "t1"
```

Translating Statements

CFG rule: $S \rightarrow \mathbf{id} := E$

Actions:

S.code := E.code || Gen(id, "=", E.addr)

```
Example: a := b + c

S = ASG (ID("a"), E) E =PLUS(ID("b"), ID("c"))

E.code := "t1 = add b, c" E.addr := "t1'

S.code := "t1 = add b, c" || "a = t1"
```

AST: IF(E, S)Generated IR: *E*.code bz Label_End, E.addr S.code Label_End: Example: if $(a > b) \{ a \rightarrow b \}$ t1 = slt a, b bz Label_End, t1 a = sub a, bLabel_End:

AST: IFELSE(E, S_1 , S_2)

Generated IR:

E.code bz Label_Else, E.addr S₁.code jmp Label_End Label_Else: S₂.code Label_End:



AST: WHILE(*E*, *S*) Generated IR: Label_While: *E*.code bz Label_End, E.addr S.code jmp Label_While Label_End:

```
f(E_1,\cdots,E_n)
```

Generated IR:

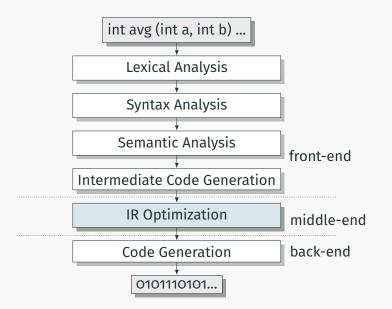
 E_n .code E_{n-1} .code \dots E_1 .code param E_n .addr \dots param E_1 .addr call f, n A Basic Block is a sequence of IR instructions with two properties:

- The first instruction is the only entry point (no other branches in; can only start at the beginning)
- Only the last instruction may affect control (no other branches out)
- \therefore If any instruction in a basic block runs, they all do

Typically "arithmetic and memory instructions, then branch"

```
ENTER: t2 = add t1, 1
t3 = slt t2, 10
bz NEXT, t3
```

IR Optimization



Optimal? Undecidable!

Soundness: semantics-preserving

IR optimization v.s. code optimization:

 $x \ * \ 0.5 \Rightarrow x \ * \ 1$

Local optimization v.s. global optimization

Local Optimization

Purpose: remove the duplicate computation of "a op b" in Three-Address code.

v1 = a op b

. . .

v2 = a op b

If values of v1, a, and b have not changed, rewrite the code:

v1 = a op b

. . .

v2 = v1

If we have

v1 = v2

then as long as v1 and v2 have not changed, we can rewrite

```
a = ... v1 ...
as
a = ... v2 ...
```

An assignment to a variable **v** is called dead if its value is never read anywhere.

Implementing Local Optimization

- Most optimizations are only possible given some analysis of the program's behavior.
- In order to implement an optimization, we will talk about the corresponding program analyses.

Available Expressions

- Both common subexpression elimination and copy propagation depend on an analysis of the available expressions in a program.
- An expression is called available if some variable in the program holds the value of that expression.
- In common subexpression elimination, we replace an available expression requiring computation by the variable holding its value.
- In copy propagation, we replace the use of a variable by the available expression it holds that does not require computation.

Finding Available Expressions

- Initially, no expressions are available
- Whenever we execute a statement

a = expr

- Any expression holding **a** is invalidated.
- The expression **a** = **expr** becomes **available**.
- Algorithm: Iterate across the basic block, beginning with the empty set of expressions and updating available expressions at each variable.

Example: Available Expressions

- The analysis corresponding to dead code elimination is called liveness analysis.
- A variable is live at a point in a program if later in the program its value will be read before it is written to again.
- Dead code elimination works by computing liveness for each variable, then eliminating assignments to dead variables.

Computing Live Variables

- To know if a variable will be used at some point, we iterate across the statements in a block in reverse order.
- Initially, some small set of values are known to be live (which ones depends on the particular program).
- When we see the statement: **a** = **b** op c
 - If **a** is not alive after the statement, skip it.
 - Otherwise, If **a** is alive after the statement
 - Just before the statement, **a** is not alive, since its value is about to be overwritten.
 - Just before the statement, both **b** and **c** are alive, since we're about to read their values.
 - (what if we have a = a op b?)

Example: Liveness Analysis

a = b; c = a; d = b + d;e = d; d = b; f = e + c; { d, e }

Example: Dead Code Elimination

{ b, d } a = b; { b, d } c = a; { b, d } d = b + d: { b, d } e = d; { b, e } d = b: { d, e } f = e + c; { d, e }

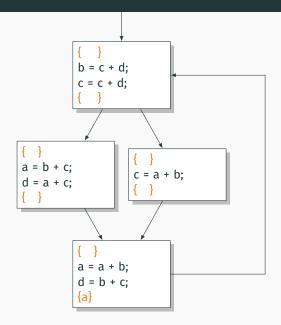
Global Optimization

Replace each variable that is known to be a constant value with the constant.

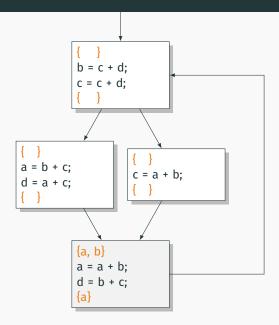
- Local dead code elimination needed to know what variables were live on exit from a basic block.
- This information can only be computed as part of a global analysis.
- How do we modify our liveness analysis to handle a CFG?

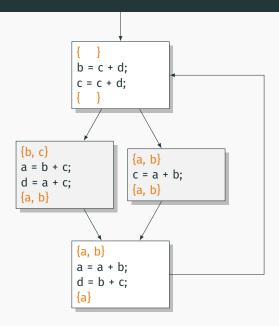
- In a local analysis, each statement has exactly one predecessor.
- In a global analysis, each statement may have multiple predecessors.
- A global analysis must combine information from all predecessors of a basic block.

Global Dead Code Elimination with Loops

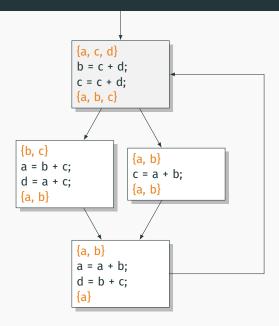


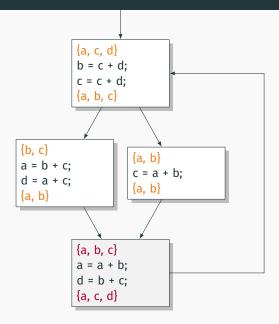
Global Dead Code Elimination with Loops

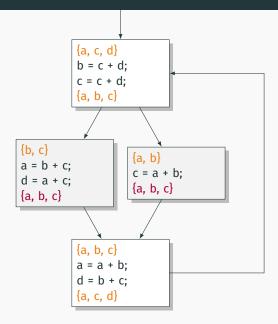


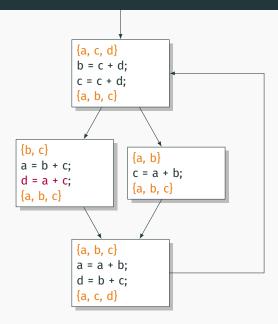


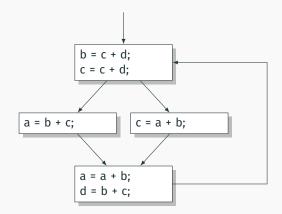
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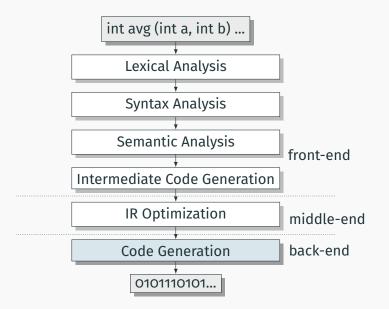






Code Generation

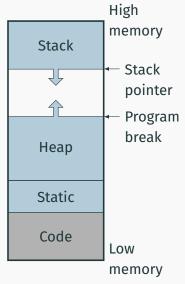
Code Generation



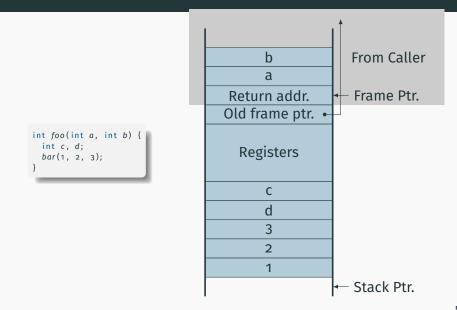
Runtime Environments

Stack: objects created/destroyed in last-in, first-out order

Heap: objects created/destroyed in any order; automatic garbage collection optional Static: objects allocated at compile time; persist throughout run



An Activation Record: The State Before Calling bar



Implementing Nested Functions with Access Links

What does "a 5 42" give?

Implementing Nested Functions with Access Links

What does "a 5 42" give?

a:
$$\begin{array}{c} (\operatorname{access link}) \bullet \\ x = 5 \\ s = 42 \end{array}$$

e:
$$\begin{array}{c} (\operatorname{access link}) \bullet \\ q = 6 \end{array}$$

b:
$$\begin{array}{c} (\operatorname{access link}) \bullet \\ y = 7 \end{array}$$

d:
$$\begin{array}{c} (\operatorname{access link}) \bullet \\ w = 8 \end{array}$$

c:
$$\begin{array}{c} (\operatorname{access link}) \bullet \\ z = 9 \end{array}$$

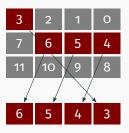
Modern memory systems read data in 32-, 64-, or 128-bit chunks:



Reading an aligned 32-bit value is fast: a single operation.



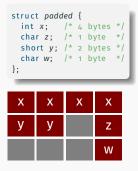
How about reading an unaligned value?

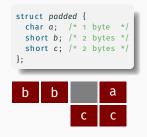


Padding

To avoid unaligned accesses, the C compiler pads the layout of unions and records. Rules:

- Each *n*-byte-aligned object must start on a multiple of *n* bytes (no unaligned accesses).
- Any object containing an *n*-byte-aligned object must be of size *mn* for some integer *m* (aligned even when arrayed).



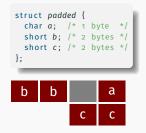


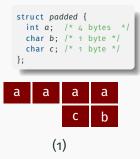
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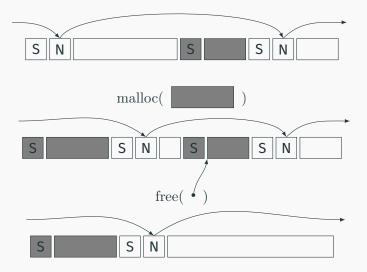




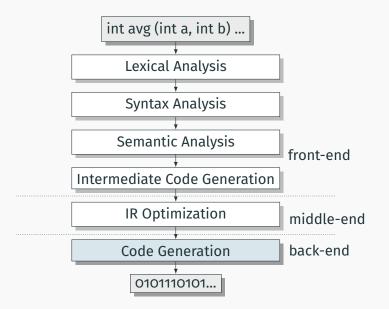
a	a	а	a		
		С	b		
(2)					

A *heap* is a region of memory where blocks can be dynamically allocated and deallocated in any order.

Simple Dynamic Storage Allocation



Code Generation

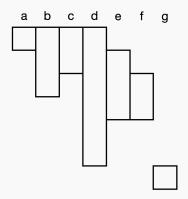


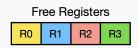
Goal: try to hold as many variables in registers as possible. Register consistency:

- At each program point, each variable must be in the same location.
- At each program point, each register holds at most one live variable.

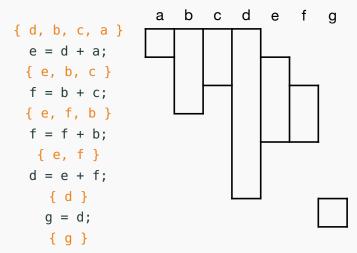
Explore three algorithms for register allocation:

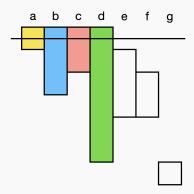
- Naive ("no") register allocation.
- Linear scan register allocation.
- Graph-coloring register allocation.





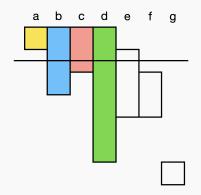
Live interval: the smallest subrange of the IR code containing all a variable's live ranges.



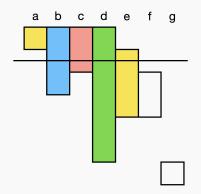


Free Registers

R0 R	1 R2	R3
------	------	----



Free Registers



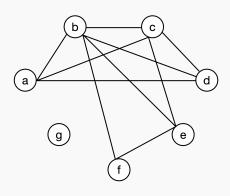
Free Registers

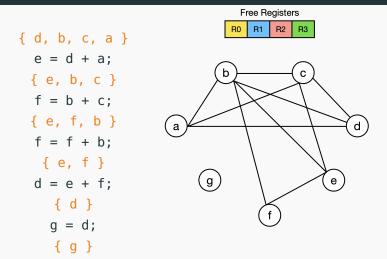
R0 R ⁻	1 R2	R3
-------------------	------	----

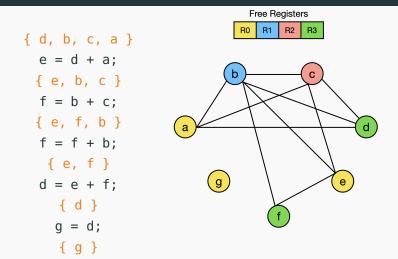
Graph-coloring Register Allocation

{ d, b, c, a }
e = d + a;
{ e, b, c }
f = b + c;
{ e, f, b }
f = f + b;
{ e, f }
d = e + f;
{ d }
g = d;
{ g }
}

$$(d)$$





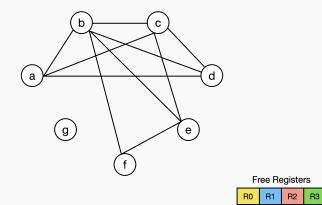


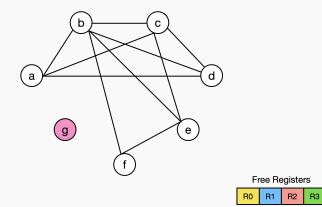
The register interference graph (RIG) of a control-flow graph is an undirected graph where

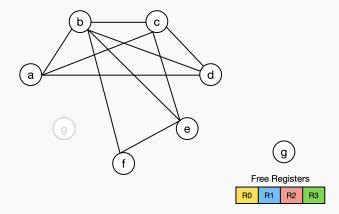
- Each node is a variable
- There is an edge between two variables that are live at the same point

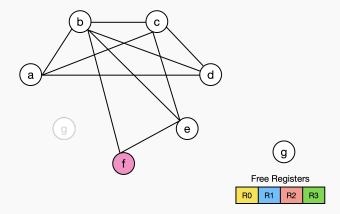
Perform register allocation by assigning each variable a different register from all of its neighbors.

This problem is equivalent to graph-coloring.

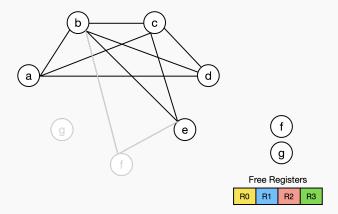


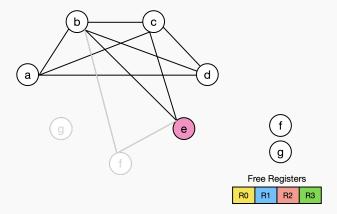


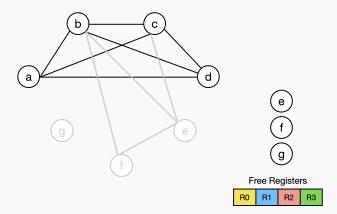


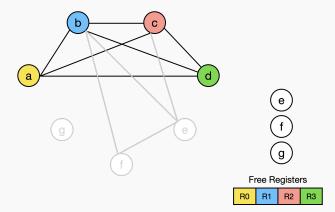


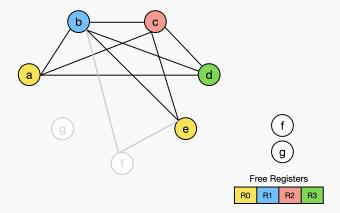
24

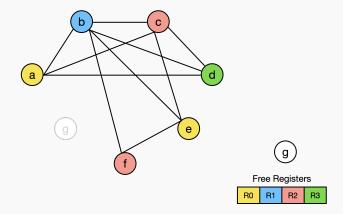


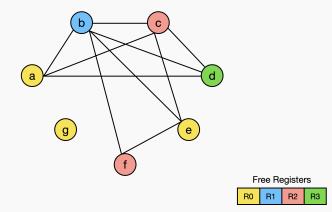












Code Generation